



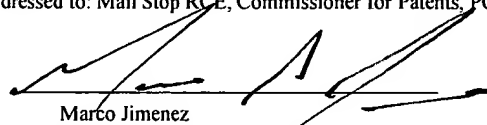
PATENT
Docket No. 393032014800

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Marco Jimenez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Takao YAMAMOTO

Application No.: 09/585,243

Filed: May 31, 2000

Art Unit: 2837

For: MUSICAL TONE GENERATION
APPARATUS AND EXTENSION BOARD
ENHANCING FUNCTIONS THEREOF

Examiner: David S. Warren

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an office action mailed on March 9, 2004 (copy attached) directed to a counterpart Japanese patent application.

An English translation of selected parts of the office action is enclosed. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.


Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Director to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 393032014800. However, the Director is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 15, 2004

Respectfully submitted,

By: 
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Form PTO-1449

Docket Number 3930314800

Application Number 09/585,243

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

Takao YAMAMOTO

Filing Date: May 31, 2000

Group Art Unit: 2837

Mailing Date April 15, 2004

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	1.	08/12/1994	06-222760 /	Japan			X (abst.)
	2.	04/15/1994	06-102865 /	Japan			X (abst.)
	3.	05/12/1995	07-121173 /	Japan			X (abst. + 2 ¶s)
	4.	07/04/1995	07-168563 /	Japan			X
	5.	03/21/2000	2000-081883 /	Japan			X
	6.	03/31/2000	2000-089760 /	Japan			X

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

1DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.